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Method of Refund:

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Patent/TM/App/Serial # 10/604,341

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8/22/2005

ATTENTION ATTENTION ATTENTION



07/14/2003 11:21

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yi-Nan Chen
Appl. No. : 10/604,341 Filing Date: 07/14/2003
Examiner : KENNEDY, JENNIFER Art Unit : 2812
Docket No.: NTCP0010USA M

Title: METHOD FOR FABRICATING ASYMMETRIC INNER
STRUCTURE IN CONTACTS OR TRENCHES

To : Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 03/05/2004 (~~Attachment 1~~). And the publication fee \$300 was deducted from the deposit account no. 50-0801 on 03/12/2004 (Attachment 1). But the above-identified application was issued as a patent on 06/15/2004 without publication process (Attachment 2).

Adjustment date: 08/24/2005 RCLEKONS
03/12/2004 MBIZUNE2 00000207 500001 10604
01 FC:1504 300.00 CR

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-0801 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

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Sincerely yours,

Winston Hsu

Date:

JUL 08 2005

Winston Hsu, Patent Agent No. 41,526

P.O. Box 506, Merrifield, Virginia 22116, U.S.A

Voice Mail: 302-729-1562

Facsimile : 806-498-6673

e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

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Deposit Account Statement

第3頁, 共7頁

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| 03/11 37 | 10708553 | OTMP0072USA | 1001 | \$770.00 | \$39,269.92 |
| 03/11 38 | 10708553 | OTMP0072USA | 1202 | \$36.00 | \$39,233.92 |
| 03/11 39 | 10708553 | OTMP0072USA | 8021 | \$40.00 | \$39,193.92 |
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| 03/11 41 | 10708554 | DRAP0001USA | 8021 | \$40.00 | \$38,768.92 |
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| 03/12 29 | 10708576 | MTKP0045USA | 1201 | \$86.00 | \$36,256.92 |
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| ✓ 03/12 464 | 10604341 | NTCP0010USA | 1504 | \$300.00 | \$35,916.92 |
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| 03/12 471 | 09682006 | ASTP0018USA | 1504 | \$300.00 | \$34,286.92 |
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| 03/12 479 | 10064036 | NAUP0500USA | 1504 | \$300.00 | \$27,766.92 |
| 03/12 480 | 10064036 | NAUP0500USA | 1501 | \$1,330.00 | \$26,436.92 |
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| 03/12 482 | 10249680 | EMEP0051USA | 1504 | \$300.00 | \$25,471.92 |
| 03/12 483 | 10064610 | FTCP0001USA | 1504 | \$300.00 | \$25,171.92 |
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| 03/15 40 | 10708602 | OTMP0065USA | 8021 | \$40.00 | \$22,076.92 |
| 03/15 41 | 10708603 | OTMP0066USA | 1001 | \$770.00 | \$21,306.92 |
| 03/15 42 | 10708603 | OTMP0066USA | 8021 | \$40.00 | \$21,266.92 |
| 03/16 23 | 10708616 | MTKP0108USA | 1001 | \$770.00 | \$20,496.92 |
| 03/16 24 | 10708616 | MTKP0108USA | 1202 | \$108.00 | \$20,388.92 |
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| 03/16 34 | 10708620 | QDIP0005USA | 1001 | \$770.00 | \$18,768.92 |
| 03/16 35 | 10708620 | QDIP0005USA | 8021 | \$40.00 | \$18,728.92 |
| 03/16 36 | 10708621 | TRAP0007USA | 2001 | \$385.00 | \$18,343.92 |
| 03/16 37 | 10708621 | TRAP0007USA | 8021 | \$40.00 | \$18,303.92 |
| 03/16 38 | 10708622 | SISP0012USA | 1001 | \$770.00 | \$17,533.92 |
| 03/16 39 | 10708622 | SISP0012USA | 8021 | \$40.00 | \$17,493.92 |
| 03/16 263 | 10605853 | ACMP0137USA | 8007 | \$40.00 | \$17,453.92 |
| 03/16 266 | 10604408 | CMDP0006USA | 8007 | \$40.00 | \$17,413.92 |
| 03/16 271 | 10605952 | REAP0036USA | 8007 | \$40.00 | \$17,373.92 |
| 03/16 275 | 10604834 | AFAP0001USA | 8007 | \$20.00 | \$17,353.92 |
| 03/17 11 | 10604040 | ACMP0123USA | 8007 | \$60.00 | \$17,293.92 |
| 03/17 13 | 10609532 | ACMP0110USA | ... | | |

Attachment 1

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PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 7-7-2005::3:24:41 E.T.

| Search results for application number: 10/604,341 | | | |
|---|---|----------------------------|---------------|
| Application Number: | 10/604,341 | Customer Number: | 27765 |
| Filing or 371(c) Date: | 07-14-2003 | Status: | Patented Case |
| Application Type: | Utility | Status Date: | 05-27-2004 |
| Examiner Name: | KENNEDY, JENNIFER M | Location: | ELECTRONIC |
| Group Art Unit: | 2812 | Location Date: | - |
| Confirmation Number: | 1340 | Earliest Publication No: | - |
| Attorney Docket Number: | NTCP0010USA | Earliest Publication Date: | - |
| Class/ Sub-Class: | 438/597 | Patent Number: | 6,750,116 |
| First Named Inventor: | Yinan Chen, Taipei City, (TW) | Issue Date of Patent: | 06-15-2004 |
| Title Of Invention: | METHOD FOR FABRICATING ASYMMETRIC INNER STRUCTURE IN CONTACTS OR TRENCHES | | |

Search Options

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| Published Documents |

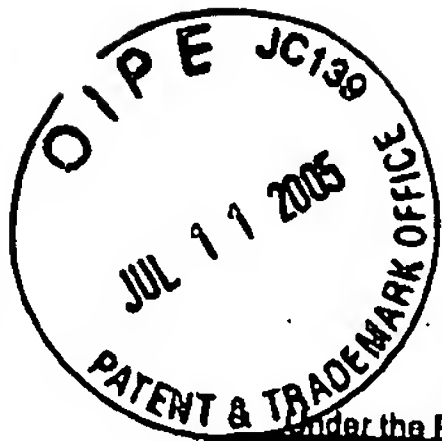
Maintenance Fees Available: Mon-Fri 5:30 AM to Midnight, Sat-Sun-Hol. 7:30 AM to 8:00 PM E.T.

| File History | |
|--------------|---|
| Date | Contents Description |
| 07-06-2004 | Recordation of Patent Grant Mailed |
| 05-27-2004 | Issue Notification Mailed |
| 06-15-2004 | Patent Issue Date Used in PTA Calculation |
| 05-18-2004 | Receipt into Pubs |
| 05-14-2004 | Workflow - Query Request - Finish |
| 05-05-2004 | Mall Examiner's Amendment |

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|------------|--|
| 05-03-2004 | Examiner's Amendment Communication |
| 04-13-2004 | Workflow - Query Request - Begin |
| 04-09-2004 | Receipt into Pubs |
| 04-08-2004 | Application Is Considered Ready for Issue |
| 07-14-2003 | Workflow - Drawings Finished |
| 03-09-2004 | Issue Fee Payment Verified |
| 03-31-2004 | Receipt into Pubs |
| 03-09-2004 | Issue Fee Payment Received |
| 02-19-2004 | Receipt into Pubs |
| 02-18-2004 | Workflow - File Sent to Contractor |
| 01-20-2004 | Mail Notice of Allowance |
| 01-12-2004 | IFW TSS Processing by Tech Center Complete |
| 01-12-2004 | Case Docketed to Examiner in GAU |
| 01-12-2004 | Issue Revision Completed |
| 01-12-2004 | Notice of Allowance Data Verification Completed |
| 01-12-2004 | Case Docketed to Examiner in GAU |
| 01-12-2004 | Notice of Allowability |
| 12-18-2003 | Case Docketed to Examiner in GAU |
| 12-05-2003 | Application Return from OIPE |
| 12-05-2003 | Application Return TO OIPE |
| 12-05-2003 | Application Return from OIPE |
| 12-05-2003 | Application Is Now Complete |
| 11-21-2003 | Pre-Exam Office Action Withdrawn |
| 11-21-2003 | Application Return TO OIPE |
| 11-21-2003 | Application Dispatched from OIPE |
| 11-21-2003 | Application Is Now Complete |
| 10-14-2003 | Additional Application Filing Fees |
| 10-14-2003 | An assertion that the nature of the legal entities having property rights to the patent authorizes t |
| 10-14-2003 | A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic |
| 08-13-2003 | Notice Mailed--Application Incomplete--Filing Date Assigned |
| 07-21-2003 | Cleared by OIPE CSR |
| 07-15-2003 | IFW Scan & PACR Auto Security Review |
| 07-14-2003 | Initial Exam Team nn |

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
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| | | | |
|--|----------------------|------------------------|-------------|
| TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small> | Application Number | 10/604,341 | |
| | Filing Date | 07/14/2003 | |
| | First Named Inventor | Yi-Nan Chen | |
| | Art Unit | 2812 | |
| | Examiner Name | KENNEDY, JENNIFER M | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | NTCP0010USA |

| ENCLOSURES (Check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
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| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
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| <input type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> Request for Refund | 2. A copy of the application data and transaction history |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | |
| | Request for a refund of publication fee | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
| Firm Name | North America Intellectual Property Corp. | |
| Signature | <i>Winston Hsu</i> | |
| Printed name | Winston Hsu | |
| Date | JUL 08 2005 | Reg. No. 41,526 |

| CERTIFICATE OF TRANSMISSION/MAILING | | |
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| Signature | | |
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